

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

Applicant: Robert D. Johnson

Filing Date

Group Art:

April 11, 2001

2877

U.S. PATENT DOCUMENTS

Initial	Document No.	Date	Name	Filing Date If Appropriate
AA	3,910,701	10/07/1975	Henderson et al.	
AB	4,035,083	07/12/1977	Woodriff et al.	
AC	4,142,797	03/06/1979	Astheimer	
AD	4,169,676	10/02/1979	Kaiser	
AE	4,260,220	04/07/1981	Whitehead	
AF	4,427,889	01/24/1984	Muller	
AG	4,537,484	08/27/1985	Fowler	
AH	4,598,715	07/08/1986	Machler et al.	
AI	4,653,880	03/31/1987	Sting et al.	
AJ	4,654,530	03/31/1987	Dybwad	
AK	4,655,225	04/07/1987	Dahne et al.	
AL	4,656,562	04/07/1987	Sugino	
AM	4,657,397	04/14/1987	Oehler et al.	
AN	4,661,706	04/28/1987	Messerschmidt et al.	
AO	4,684,255	08/04/1987	Ford	
AP	4,712,912	12/15/1987	Messerschmidt	
AQ	4,730,882	03/15/1988	Messerschmidt	
AR	4,787,013	11/22/1988	Sugino et al.	
AS	4,787,708	11/29/1988	Whitehead	
AT	4,830,496	05/16/1989	Young	
AU	4,853,542	08/01/1989	Milosevic et al.	
AV	4,857,735	08/15/1985	Noller	
AW	4,859,064	08/22/1989	Messerschmidt et al.	
AX	4,866,644	09/12/1989	Shenk et al.	
AY	4,867,557	09/19/1989	Takatani et al.	
AZ	4,882,492	11/21/1989	Schlager	
BA	4,883,953	11/28/1989	Koashi et al.	

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BB <i>gc</i>	4,975,581	12/04/1990	Robinson et al.	
BC	5,015,100	05/14/1991	Doyle	
BD	5,019,715	05/28/1991	Sting et al.	
BE	5,028,787	07/02/1991	Rosenthal et al.	
BF	5,051,602	09/24/1991	Sting et al.	
BG	5,068,536	11/26/1991	Rosenthal	
BH	5,070,874	12/10/1991	Barnes et al.	
BI	5,158,082	10/27/1992	Jones	
BJ	5,178,142	01/12/1993	Harjunmaa et al.	
BK	5,179,951	01/19/1993	Knudson	
BL	5,184,248	02/02/1993	de Vaan et al.	
BM	5,204,532	04/20/1993	Rosenthal	
BN	5,222,496	06/29/1993	Clarke et al.	
BO	5,223,715	06/29/1993	Taylor	
BP	5,225,678	07/06/1993	Messerschmidt	
BQ	5,243,546	09/07/1993	Maggard	
BR	5,257,086	10/26/1993	Fateley et al.	
BS	5,267,152	11/30/1993	Yang et al.	
BT	5,268,749	12/07/1993	Weber et al.	
BU	5,291,560	10/26/1993	Daugman	
BV	5,303,026	04/12/1994	Strobl et al.	
BW	5,311,021	05/10/1994	Messerschmidt	
BX	5,313,941	05/24/1994	Braig et al.	
BY	5,321,265	06/14/1994	Block	
BZ	5,331,958	07/26/1994	Oppenheimer	
CA	5,348,003	09/20/1994	Caro	
CB <i>mc</i>	5,355,880	10/18/1994	Thomas et al.	

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CD	5,361,758	11/08/1994	Hall et al.	
CE	5,372,135	12/13/1994	Mendelson et al.	
CF	5,379,764	01/10/1995	Barnes et al.	
CG	5,402,778	04/04/1995	Chance	
CH	5,419,321	05/30/1995	Evans	
CI	5,435,309	07/25/1995	Thomas et al.	
CJ	5,441,053	08/15/1995	Lodder et al.	
CK	5,452,723	09/26/1995	Wu et al.	
CL	5,459,317	10/17/1995	Small et al.	
CM	5,459,677	10/17/1995	Kowalski et al.	
CN	5,460,177	10/24/1995	Purdy et al.	
CO	5,483,335	01/09/1996	Tobias	
CP	5,494,032	02/27/1996	Robinson et al.	
CQ	5,515,847	05/14/1996	Braig et al.	
CR	5,523,054	06/04/1996	Switalski et al.	
CS	5,533,509	07/09/1996	Koashi et al.	
CT	5,537,208	07/16/1996	Bertram et al.	
CU	5,552,997	09/03/1996	Massart	
CV	5,596,992	01/28/1997	Haaland et al.	
CW	5,606,164	02/25/1997	Price et al.	
CX	5,636,633	06/10/1997	Messerschmidt et al.	
CY	5,655,530	08/12/1997	Messerschmidt	
CZ	5,672,864	09/30/1997	Kaplan	
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DD	5,719,950	02/17/1998	Osten et al.	
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DF	5,743,262	04/28/1998	Lepper, Jr. et al.	
DG	5,747,806	05/05/1998	Khalil	
DH	5,750,994	05/12/1998	Schlager	
DI	5,782,755	07/21/1998	Chance et al.	
DJ	5,792,050	08/11/1998	Alam et al.	
DK	5,792,053	08/11/1998	Skladner et al.	
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DM	5,808,739	09/15/1998	Turner et al.	
DN	5,818,048	10/06/1998	Sodickson et al.	
DO	5,823,951	10/20/1998	Messerschmidt et al.	
DP	5,828,066	10/27/1998	Messerschmidt	
DQ	5,830,132	11/03/1998	Robinson	
DR	5,830,133	11/03/1998	Osten et al.	
DS	5,850,623	12/15/1998	Carman, Jr. et al.	
DT	5,853,370	12/29/1998	Chance et al.	
DU	5,860,421	01/19/1999	Eppstein et al.	
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DW	5,902,033	05/11/1999	Levis et al.	
DX	5,914,780	06/22/1999	Turner et al.	
DY	5,933,792	08/03/1999	Andersen et al.	
DZ	5,935,062	08/10/1999	Messerschmidt et al.	
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EB	5,949,543	09/07/1999	Bleier et al.	
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EK	6,034,370	03/07/2000	Messerschmidt	
EL	6,040,578	03/21/2000	Malin et al.	
EM	6,041,247	03/21/2000	Weckstrom et al.	
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EU	6,057,925	02/02/2000	Anthon	
EV	6,061,581	05/09/2000	Alam et al.	
EW	6,061,582	05/09/2000	Small et al.	
EX	6,066,847	05/23/2000	Rosenthal	
EY	6,070,093	05/20/2000	Oosta et al.	
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FA	6,088,605	07/11/2000	Griffith et al.	
FB	6,100,811	08/08/2000	Hsu et al.	
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FJ	6,226,541	05/01/2001	Eppstein et al.	
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FL	6,240,306	05/29/2001	Rohrscheib et al.	
FM	6,241,663	06/05/2001	Wu et al.	
FN	09/832,585		Abbink et al.	04/11/2001
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FQ	EP 0 317 121 B1	05/24/1999	EPO	
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FS	EP 0 449 335 A2	10/02/1991	EPO	
FT	EP 0 573 137 A2	12/08/1993	EPO	
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FV	EP 0 670 143 A1	09/06/1995	EPO	
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GJ	WO 93/07801	04/29/1993	PCT	
GK	WO 95/22046	08/17/1995	PCT	
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GU	WO 99/43255	09/02/1999	PCT	
GV	WO 99/46731	09/19/1999	PCT	
GW	WO 99/55222	11/04/1999	PCT	
GX	WO 99/56616	11/11/1999	PCT	
GY	WO 01/15596	03/08/2001	PCT	

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GZ	Anderson, C. E. et al., "Fundamentals of Calibration Transfer Through Procrustes Analysis," <u>Appln. Spectros.</u> , Vol. 53, No. 10 (1999) p. 1268.
HA	Ashbourn, Julian, <u>Biometrics: Advanced Identity Verification</u> , Springer, 2000, pp. 63-4)
HB	Bantle, John P. et al., "Glucose Measurement in Patients with Diabetes Mellitus with Dermal Interstitial Fluid," Copyright © 1997 by Mosby-Year Book, Inc., 9 pages.
HC	Blank, T.B. et al., "Transfer of Near-Infrared Multivariate Calibrations Without Standards," <u>Anal. Chem.</u> , Vol. 68 (1996) p. 2987.
HD	Brasunas John C. et al., "Uniform Time-Sampling Fourier Transform Spectroscopy," <u>Applied Optics</u> , Vol. 36, No. 10, April 1, 1997, pp. 2206-2210.
HE	Brault, James W., "New Approach to High-Precision Fourier Transform Spectrometer Design," <u>Applied Optics</u> , Vol. 35, No. 16, June 1, 1996, pp. 2891-2896.
HF	Cassarly, W.J. et al., "Distributed Lighting Systems: Uniform Light Delivery," <u>Source Unknown</u> , pp. 1698-1702.
HG	Chang, Chong-Min et al., "An Uniform Rectangular Illuminating Optical System for Liquid Crystal Light Valve Projectors," <u>Euro Display '96</u> (1996) pp. 257-260.
HH	Coyne, Lawrence J. Distributive Fiber Optic couplers Retangular Lightguides as Mixing Elements, "(Information Gatekeepers, Inc. Brookline, MA, 1979) pp. 160-164.
HI	de Noord, Onno E., "Multivariate Calibration Standardization," <u>Chemometrics and Intelligent Laboratory Systems</u> 25, (1994) pp. 85-97.
HJ	Despain, Alvin M. et al., "A Large-Aperture Field-Widened Interferometer-Spectrometer for Airglow Studies," <u>Aspen International Conference on Fourier Spectroscopy</u> , 1970, pp. 293-300.
HK	Faber, Nicolaas, "Multivariate Sensitivity for the Interpretation of the Effect of Spectral Pretreatment Methods on Near-Infrared Calibration Model Predictions," <u>Analytical Chemistry</u> , Vol. 71, No. 3, February 1, 1999, pp. 557-565.
HL	Geladi, Paul et al., "A Multivariate NIR Study of Skin Alterations in Diabetic Patients as Compared to Control Subjects," <u>J. Near Infrared Spectrosc.</u> , vol. 8 (2000) pp. 217-227.

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HM	Hayland, David M. et al. "Reagentless Near-Infrared Determination of Glucose in Whole Blood Using Multivariate Calibration," <u>Applied Spectroscopy</u> , Vol. 46, No. 10 (1992) pp. 1575-1578.
HN	Hart, M. et al., "Chapter 5 - Instrumental Considerations" <u>Hadamard Transform Optics</u> , Academic Press (1979) pp. 109-145.
HO	Heise H. Michael et al., "Near-Infrared Reflectance Spectroscopy for Noninvasive Monitoring of Metabolites," <u>Clin. Chem. Lab. Med.</u> 2000, 38(2) (2000) pp. 137-145.
HP	Heise, H.M. et al., "Near Infrared Spectrometric Investigation of Pulsatile Blood Flow for Non-Invasive Metabolite Monitoring," <u>CP430, Fourier Transform Spectroscopy: 11th International Conference</u> , (1998) pp. 282-285.
HQ	Heise, H.M. et al., "Noninvasive Blood Glucose Sensors Based on Near-Infrared Spectroscopy," <u>Artif Organs</u> , Vol. 18, No. 6 (1994) pp. 1-9.
HR	Heise, H.M. "Non-Invasive Monitoring of Metabolites Using Near Infrared Spectroscopy: State of the Art," <u>Horm. Metab. Res.</u> , Vol. 28 (1996) pp. 527-534.
HS	Hopkins, George W. et al., "In-vivo NIR Diffuse-reflectance Tissue Spectroscopy of Human Subjects," <u>SPIE</u> , Vol. 3597, January 1999, pp. 632-641.
HT	Jagemann, Kay-Uwe et al. "Application of Near-Infrared Spectroscopy for Non-Invasive Determination of Blood/Tissue Glucose Using Neural Networks," <u>Zeitschrift for Physikalische Chemie</u> , Bd.191, S. 179-190 (1995).
HU	Khalil, Omar S., "Spectroscopic and Clinical Aspects of Noninvasive Glucose Measurements," <u>Clinical Chemistry</u> , 45:2 (1999) pp. 165-177.
HV	Kohl, Matthias et al., "The Influence of Glucose Concentration Upon the Transport of Light in Tissue-simulating Phantoms," <u>Phys. Med. Biol.</u> , Vol. 40 (1995) pp. 1267-1287.
HW	Korte, E.H. et al., "Infrared Diffuse Reflectance Accessory for Local Analysis on Bulky Samples," <u>Applied Spectroscopy</u> , Vol. 42, No. 1, January 1988, pp. 38-43.
HX	Kumar, G. et al., "Optimal Probe Geometry for Near-Infrared Spectroscopy of Biological Tissue," <u>Applied Spectroscopy</u> , Vol. 36 (1997) p. 2286.
HY	Lorber, Avraham et al., "Local Centering in Multivariate Calibration," <u>Journal of Chemometrics</u> , Vol. 10 (1996) pp. 215-220.
HZ	Lorber, Avraham et al., "Net Analyte Signal Calculation in Multivariate Calibration," <u>Analytical Chemistry</u> , Vol. 69, No. 8, April 15, 1997, pp. 1620-1626.
IA	Marbach, Ralf, "Measurement Techniques for IR Spectroscopic Blood Glucose Determination," (1994) pp. 1-158.
IB	Marbach, R. et al. "Noninvasive Blood Glucose Assay by Near-Infrared Diffuse Reflectance Spectroscopy of the Human Inner Lip," <u>Applied Spectroscopy</u> , Vol. 47, No. 7 (1993) pp. 875-881.
IC	Marbach, R. et al. "Optical Diffuse Reflectance Accessory for Measurements of Skin Tissue by Near-Infrared Spectroscopy," <u>Applied Optics</u> , Vol. 34, No. 4, February 1, 1995, pp. 610-621.
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IE	Martens, Harald et al., Updating Multivariate Calibrations of Process NIR Instruments," <u>Adv. Instru. Control</u> (1990) pp. 371-381.
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IG	Nichols, et al., <u>Design and Testing of a White-Light, Steady-State Diffuse Reflectance Spectrometer for Determination of Optical Properties of Highly Scattering Systems</u> , <u>Applied Optics</u> , 1 January 1997, 36(1), pp 93-104.
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IL		Ripley, B.D. <u>Pattern Recognition and Neural Networks</u> , Cambridge University Press (1996) pp. 91-120.
IN		Robinson, M. Ries et al., "Noninvasive Glucose Monitoring in Diabetic Patients: A Preliminary Evaluation," <u>Clinical Chemistry</u> , Vol. 38, No. 9 (1992) pp. 1618-1622.
IM		Royston, David D. et al., "Optical Properties of Scattering and Absorbing Materials Used in the Development of Optical Phantoms at 1064 NM," <u>Journal of Biomedical Optics</u> , Vol. 1, No. 1, January 1996, pp. 110-116.
IN		Rufan, Sarah C. et al., "Correction for Drift in Multivariate Systems Using the Kalman Filter," <u>Chemometrics and Intelligent Laboratory Systems</u> 35, (1996) pp. 199-211.
IQ		Sedit, M.L. et al., "Heuristic and Statistical Algorithms for Automated Emission Spectral Background Intensity Estimation," <u>Applied Spectroscopy</u> , Vol. 48, No. 8 (1994) pp. 915-925.
IP		Saptari, Vidi Alfandi, "Analysis, Design and Use of a Fourier-Transform Spectrometer for Near Infrared Glucose Absorption Measurement," (Massachusetts Institute of Technology, 1999) pp. 1-76.
IQ		Schmitt, J.M. et al., "Spectral Distortions in Near-Infrared Spectroscopy of Turbid Materials," <u>Applied Spectroscopy</u> , No. 50 (1996) p. 1066.
IR		Service, F. John et al., "Dermal Interstitial Glucose as an Indicator of Ambient Glycemia," <u>Diabetes Care</u> , Vol. 20, No. 9, September 1997, 9 pages.
IS		Shroder, Robert, (Internet Article) MicroPac Forum Presentation, Current performance results, May 11, 2000.
IT		Sjoblom, J. et al., "An Evaluation of Orthogonal Signal correction Applied to Calibration Transfer of Near Infrared Spectra," <u>Chemom & Intell Lab. Sys.</u> , Vol. 44 (1998) p. 229.
IU		Steel, W.H., "Interferometers for Fourier Spectroscopy," <u>Aspen International Conference on Fourier Spectroscopy</u> , (1970) pp. 43-53.
IV		Sternberg R.S. et al., "A New Type of Michelson Interference Spectrometer," <u>Sci. Instrum.</u> , Vol. 41 (1964) pp. 225-226.
IW		Stork, Chris L. et al., "Weighting Schemes for Updating Regression Models - a Theoretical Approach," <u>Chemometrics and Intelligent Laboratory Systems</u> 48, (1999) pp. 151-166.
LX		Sum, Stephen T. et al., "Standardization of Fiber-Optic Probes for Near-Infrared Multivariate Calibrations," <u>Applied Spectroscopy</u> , Vol. 52, No. 6 (1998) pp. 869-877.
IY		Swierenga, H. et al., "Comparison of Two Different Approaches Toward Model Transferability in NIR Spectroscopy," <u>Applied Spectroscopy</u> , Vol. 52, No. 1 (1998) pp. 7-16.
IZ		Swierenga, H. et al., "Improvement of PLS Model Transferability by Robust Wavelength Selection," <u>Chemometrics and Intelligent Laboratory Systems</u> , Vol. 41 (1998) pp. 237-248.
JA		Swierenga, H. et al., "Strategy for Constructing Robust Multivariate Calibration Models," <u>Chemometrics and Intelligent Laboratory Systems</u> , Vol. 49, (1999) pp. 1-17.
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LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

Applicant: Robert D. Johnson

Filing Date

Group Art:

April 11, 2001

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JJ Webb, Paul, "Temperatures of Skin, Subcutaneous Tissue, Muscle and Core in Resting Men in Cold, Comfortable and Hot Conditions," European Journal of Applied Physiology, Vol. 64 (1992) pp. 471-476.

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DATE CONSIDERED:

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